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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13768.233

In Re Application Of: **Shawn Domenic Loveland and Jorg-Thomas Pfenning**

U.S. PTO
09/28/01
107996685



Serial No.
Not Yet Assigned

Filing Date
September 28, 2001

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **VOICE AND CIRCUMSTANCE-DEPENDENT NOTIFICATION**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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Signature

Dated: September 28, 2001

Adrian J. Lee
Attorney for Applicant
Registration No. 42,785

cc:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 13768.233		Application Number Not Yet Assigned	
	Applicant(s) Shawn Domenic Loveland and Jorg-Thomas Pfenning			
	Filing Date September 28, 2001		Group Art Unit Not Yet Assigned	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
	1	Title: Nomadic Radio: speech and audio interaction for contextual messaging in nomadic environments Author Sawhney, N.; Schmandt, C. Journal: ACM Transactions on Computer-Human Interaction . vol.7, no.3 p. 353-83 Publication Date: Sept. 2000 Country of Publication: USA
	2	Title: The computer voices alarm Author Kuroda, T. Conference Title: Official Proceedings of International SPEECH TECH '87: Voice Input/Output Applications Show and Conference p. 76-81 Publication Date: 1987 Country of Publication: USA 260 pp.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.